

# CORPORATE CLIENT APPLICATION FORM

Before completing this application form, please ensure that you have obtained and read the information regarding the products and services provided by Citypoint Trading Limited ("Citypoint") and all relevant terms and policies made available, and updated from time to time, on our website [www.citypointtrading.com](http://www.citypointtrading.com). Once completed this form should be sent to Citypoint at the business address below or alternatively please send a scanned copy of the completed application to [newaccounts@citypointtrading.com](mailto:newaccounts@citypointtrading.com).

I AM APPLYING TO OPEN AN ACCOUNT AS A:  PROFESSIONAL CLIENT  RETAIL CLIENT

ALL ITEMS MUST BE COMPLETED.

BOTH  X  OR  V  ARE ACCEPTABLE AS AN INDICATION CHECK.

## 1. COMPANY DETAILS

Name of Company:	Company registration number:
Nature of business:	Date of registration (dd/mm/yyyy):
Website (if any):	Country of registration:
Company's main contact person:	Contact person's mobile telephone no.:
Company telephone no.:	Contact Person's email address:
Is the company regulated by a financial services regulator?	<input type="checkbox"/> No <input type="checkbox"/> Yes, Name of Regulator: _____
Is the company listed on a regulated stock exchange?	<input type="checkbox"/> No <input type="checkbox"/> Yes, Name of Exchange: _____
Do you operate from the USA? <input type="checkbox"/> Yes <input type="checkbox"/> No	If based in the EU, please provide VAT No.: _____
If it is a financial services company in the UK/EEA please provide your valid Legal Entity Identifier (LEI): _____	

REGISTERED OFFICE ADDRESS: - Please ensure that you include all parts of your address in full in this section

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

BUSINESS ADDRESS – Please provide this only if different from registered address

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

Company Financials:

Does the company have a balance sheet total of at least £17,500,000 or equivalent?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the company have its own funds of at least £1,750,000 or equivalent?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the company have a net turnover of at least £35,000,000 or equivalent?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the company have a portfolio (cash deposits & financial instruments) > £400,000 or equivalent?	<input type="checkbox"/> Yes <input type="checkbox"/> No

## 2. COMPANY BANK DETAILS

Beneficiary Name:	Sort Code:
Bank Name:	IBAN:
Bank Address:	SWIFT Code:
Account Number:	BIC Code:
The currency of the main account? (default currency will be USD if left blank)	<input type="checkbox"/> USD, <input type="checkbox"/> EUR, <input type="checkbox"/> GBP

Please state the expected amount of initial funding in the account: \_\_\_\_\_

### 3. COMPANY SHAREHOLDERS

PLEASE PROVIDE DETAILS OF ALL SHAREHOLDERS OWNING 10% OR MORE OF THE COMPANY:

If the company has one or more corporate shareholders owning 10% or more, please contact us to discuss the required information.

#### Shareholder (a)

First name:	Last name:
Date of birth (dd/mm/yyyy):	Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone no.:	Email address:
Occupation:	Nationality:
Is this individual a Director: <input type="checkbox"/> Yes <input type="checkbox"/> No	Country of registration for tax purposes:

RESIDENTIAL ADDRESS - provide your address in full (If less than 3 years, provide previous address(es) on another sheet if necessary)

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

#### Shareholder (b)

First name:	Last name:
Date of birth (dd/mm/yyyy):	Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone no.:	Email address:
Occupation:	Nationality:
Is this individual a Director: <input type="checkbox"/> Yes <input type="checkbox"/> No	Country of registration for tax purposes:

RESIDENTIAL ADDRESS - provide your address in full (If less than 3 years, provide previous address(es) on another sheet if necessary)

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

#### Shareholder (c)

First name:	Last name:
Date of birth (dd/mm/yyyy):	Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone no.:	Email address:
Occupation:	Nationality:
Is this individual a Director: <input type="checkbox"/> Yes <input type="checkbox"/> No	Country of registration for tax purposes:

RESIDENTIAL ADDRESS - provide your address in full (If less than 3 years, provide previous address(es) on another sheet if necessary)

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

If you need to include details of additional Directors, please supply on an extra sheet of paper.

## 4. DIRECTORS

PLEASE PROVIDE DETAILS OF ALL EXECUTIVE AND NON-EXECUTIVE DIRECTORS, IF NOT LISTED AS SHAREHOLDERS:

Director (1) [the Director with the authority from the board to apply on behalf of the firm]

First name:	Last name:
Date of birth (dd/mm/yyyy):	Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone no.:	Email address:
Occupation:	Nationality:
Country of registration for tax purposes:	

RESIDENTIAL ADDRESS - provide your address in full (If less than 3 years, provide previous address(es) on another sheet if necessary)

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

Director (2)

First name:	Last name:
Date of birth (dd/mm/yyyy):	Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone no.:	Email address:
Occupation:	Nationality:
Country of registration for tax purposes:	

RESIDENTIAL ADDRESS - provide your address in full (If less than 3 years, provide previous address(es) on another sheet if necessary)

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

Director (3)

First name:	Last name:
Date of birth (dd/mm/yyyy):	Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female
Telephone no.:	Email address:
Occupation:	Nationality:
Country of registration for tax purposes:	

RESIDENTIAL ADDRESS - provide your address in full (If less than 3 years, provide previous address(es) on another sheet if necessary)

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

If you need to include details of additional Directors, please supply on an extra sheet of paper.

## 5. DIRECTORS' TRADING EXPERIENCE AND KNOWLEDGE

5.1.0) FULL NAME OF DIRECTOR 1:

### TRADING EXPERIENCE

- 5.1.1) Has Director 1 traded, in significant size, in the Forex/CFD markets (eg. Spot FX, forwards, futures, swaps, options etc.) and Precious Metals (ie. Gold and Silver) at an average frequency of 10 transactions per quarter over the previous 4 quarters?  Yes  No
- 5.1.2) If the answer to 5.1.1 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Rolling spot FX  Futures  Options  Commodities  Swaps  Shares  Indices  
 Other; please give details
- 5.1.3) Is the size of Director 1's financial instrument portfolio, defined as including cash deposits and financial instruments, in excess of EUR500,000 (or equivalent in your local currency)?  Yes  No
- 5.1.4) Does Director 1 work, or has Director 1 worked, in the financial sector for at least one year in a professional position, which requires knowledge of the transactions and services provided by Citypoint?  Yes  No
- 5.1.5) If the answer to 5.1.4 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Trading & dealing desk  Sales & marketing  Director & strategic planning  
 Risk management & hedging  Compliance & regulations  
 Other; please give details
- 5.1.6) How many years trading experience of any financial products does Director 1 have?  <1 year  1 year to <3 years  >3 years
- 5.1.7) How many years previous trading experience does Director 1 have in relation to leveraged products?  <1 year  1 year to <3 years  >3 years
- 5.1.8) What, if any, products has Director 1 traded in a live environment (where Director 1 made the final trade decision) in the last 12 months? **PLEASE TICK ALL RELEVANT:**
- |   |   |   |
|---|---|---|
| <u>Forex:</u>   | <u>CFD:</u>   | <u>Shares:</u>  |
| <input type="checkbox"/> Often (at least 10 times a month)    | <input type="checkbox"/> Often (at least 10 times a month)    | <input type="checkbox"/> Often (at least 10 times a month)    |
| <input type="checkbox"/> Sometimes (at least 3 times a month) | <input type="checkbox"/> Sometimes (at least 3 times a month) | <input type="checkbox"/> Sometimes (at least 3 times a month) |
| <input type="checkbox"/> Rarely (less than once a month)      | <input type="checkbox"/> Rarely (less than once a month)      | <input type="checkbox"/> Rarely (less than once a month)      |

### KNOWLEDGE OF TRADING RISKS

- 5.1.9) Is Director 1 aware of the factors influencing Forex and CFD movements, such as economic figure releases, political situations around the world, supply and demand etc?  Yes  No
- 5.1.10) Is Director 1 aware of the impact of the availability of liquidity in Forex and CFD markets?  Yes  No
- 5.1.11) Is Director 1 aware of the role of volatility and how it can affect Forex and CFD markets?  Yes  No
- 5.1.12) Does Director 1 understand the impact of leverage & risk involved in trading margined products eg CFDs?  Yes  No
- 5.1.13) Does Director 1 understand what a "margin call" is and that Citypoint may liquidate any or all open positions if Director 1 fails to meet a "margin call"?  Yes  No
- 5.1.14) Does Director 1 understand the technical and systematic risks and weaknesses of an electronic platform such as communications interruptions and systems downtime?  Yes  No
- 5.1.15) Has Director 1 undertaken any professional courses relevant to trading CFDs?  Yes  No
- 5.1.16) If the answer to 5.1.15 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Online trading course(s) within the past 24 months  In-class training courses within the past 24 months  
 Seminar(s) within the past 24 months  Relevant academic degree  
 Other self-study materials within the past 24 months, **PLEASE GIVE DETAILS:**

**5.2.0) FULL NAME OF DIRECTOR 2:**

**TRADING EXPERIENCE**

- 5.2.1) Has Director 2 traded, in significant size, in the Forex/CFD markets (eg. Spot FX, forwards, futures, swaps, options etc.) and Precious Metals (ie. Gold and Silver) at an average frequency of 10 transactions per quarter over the previous 4 quarters?  Yes  No
- 5.2.2) If the answer to 5.2.1 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Rolling spot FX  Futures  Options  Commodities  Swaps  Shares  Indices  
 Other; please give details
- 5.2.3) Is the size of Director 2's financial instrument portfolio, defined as including cash deposits and financial instruments, in excess of EUR500,000 (or equivalent in your local currency)?  Yes  No
- 5.2.4) Does Director 2 work, or has Director 2 worked, in the financial sector for at least one year in a professional position, which requires knowledge of the transactions and services provided by Citypoint?  Yes  No
- 5.2.5) If the answer to 5.2.4 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Trading & dealing desk  Sales & marketing  Director & strategic planning  
 Risk management & hedging  Compliance & regulations  
 Other; please give details
- 5.2.6) How many years trading experience of any financial products does Director 2 have?  <1 year  1 year to <3 years  >3 years
- 5.2.7) How many years previous trading experience does Director 2 have in relation to leveraged products?  <1 year  1 year to <3 years  >3 years
- 5.2.8) What, if any, products has Director 2 traded in a live environment (where Director 2 made the final trade decision) in the last 12 months? **PLEASE TICK ALL RELEVANT:**  

<u>Forex:</u>	<u>CFD:</u>	<u>Shares:</u>
<input type="checkbox"/> Often (at least 10 times a month)	<input type="checkbox"/> Often (at least 10 times a month)	<input type="checkbox"/> Often (at least 10 times a month)
<input type="checkbox"/> Sometimes (at least 3 times a month)	<input type="checkbox"/> Sometimes (at least 3 times a month)	<input type="checkbox"/> Sometimes (at least 3 times a month)
<input type="checkbox"/> Rarely (less than once a month)	<input type="checkbox"/> Rarely (less than once a month)	<input type="checkbox"/> Rarely (less than once a month)

**KNOWLEDGE OF TRADING RISKS**

- 5.2.9) Is Director 2 aware of the factors influencing Forex and CFD movements, such as economic figure releases, political situations around the world, supply and demand etc?  Yes  No
- 5.2.10) Is Director 2 aware of the impact of the availability of liquidity in Forex and CFD markets?  Yes  No
- 5.2.11) Is Director 2 aware of the role of volatility and how it can affect Forex and CFD markets?  Yes  No
- 5.2.12) Does Director 2 understand the impact of leverage & risk involved in trading margined products eg CFDs?  Yes  No
- 5.2.13) Does Director 2 understand what a "margin call" is and that Citypoint may liquidate any or all open positions if Director 2 fails to meet a "margin call"?  Yes  No
- 5.2.14) Does Director 2 understand the technical and systematic risks and weaknesses of an electronic platform such as communications interruptions and systems downtime?  Yes  No
- 5.2.15) Has Director 2 undertaken any professional courses relevant to trading CFDs?  Yes  No
- 5.2.16) If the answer to 5.2.15 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Online trading course(s) within the past 24 months  In-class training courses within the past 24 months  
 Seminar(s) within the past 24 months  Relevant academic degree  
 Other self-study materials within the past 24 months, **PLEASE GIVE DETAILS:**

**5.3.0) FULL NAME OF DIRECTOR 3:**

**TRADING EXPERIENCE**

- 5.3.1) Has Director 3 traded, in significant size, in the Forex/CFD markets (eg. Spot FX, forwards, futures, swaps, options etc.) and Precious Metals (ie. Gold and Silver) at an average frequency of 10 transactions per quarter over the previous 4 quarters?  Yes  No
- 5.3.2) If the answer to 5.3.1 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Rolling spot FX  Futures  Options  Commodities  Swaps  Shares  Indices  
 Other; please give details
- 5.3.3) Is the size of Director 3's financial instrument portfolio, defined as including cash deposits and financial instruments, in excess of EUR500,000 (or equivalent in your local currency)?  Yes  No
- 5.3.4) Does Director 3 work, or has Director 3 worked, in the financial sector for at least one year in a professional position, which requires knowledge of the transactions and services provided by Citypoint?  Yes  No
- 5.3.5) If the answer to 5.3.4 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Trading & dealing desk  Sales & marketing  Director & strategic planning  
 Risk management & hedging  Compliance & regulations  
 Other; please give details
- 5.3.6) How many years trading experience of any financial products does Director 3 have?  <1 year  1 year to <3 years  >3 years
- 5.3.7) How many years previous trading experience does Director 3 have in relation to leveraged products?  <1 year  1 year to <3 years  >3 years
- 5.3.8) What, if any, products has Director 3 traded in a live environment (where Director 3 made the final trade decision) in the last 12 months? **PLEASE TICK ALL RELEVANT:**  

<u>Forex:</u>	<u>CFD:</u>	<u>Shares:</u>
<input type="checkbox"/> Often (at least 10 times a month)	<input type="checkbox"/> Often (at least 10 times a month)	<input type="checkbox"/> Often (at least 10 times a month)
<input type="checkbox"/> Sometimes (at least 3 times a month)	<input type="checkbox"/> Sometimes (at least 3 times a month)	<input type="checkbox"/> Sometimes (at least 3 times a month)
<input type="checkbox"/> Rarely (less than once a month)	<input type="checkbox"/> Rarely (less than once a month)	<input type="checkbox"/> Rarely (less than once a month)

**KNOWLEDGE OF TRADING RISKS**

- 5.3.9) Is Director 3 aware of the factors influencing Forex and CFD movements, such as economic figure releases, political situations around the world, supply and demand etc?  Yes  No
- 5.3.10) Is Director 3 aware of the impact of the availability of liquidity in Forex and CFD markets?  Yes  No
- 5.3.11) Is Director 3 aware of the role of volatility and how it can affect Forex and CFD markets?  Yes  No
- 5.3.12) Does Director 3 understand the impact of leverage & risk involved in trading margined products eg CFDs?  Yes  No
- 5.3.13) Does Director 3 understand what a "margin call" is and that Citypoint may liquidate any or all open positions if Director 3 fails to meet a "margin call"?  Yes  No
- 5.3.14) Does Director 3 understand the technical and systematic risks and weaknesses of an electronic platform such as communications interruptions and systems downtime?  Yes  No
- 5.3.15) Has Director 3 undertaken any professional courses relevant to trading CFDs?  Yes  No
- 5.3.16) If the answer to 5.3.15 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Online trading course(s) within the past 24 months  In-class training courses within the past 24 months  
 Seminar(s) within the past 24 months  Relevant academic degree  
 Other self-study materials within the past 24 months, **PLEASE GIVE DETAILS:**

## 6. INTRODUCING BROKER

- If you were introduced by an introducing broker, please provide name, address and contact details of the introducing broker:

Name:

Address & Contact  
Details:

If you've been introduced to us by a third party, we may charge you commission and pay any percentage of this charge to the third party. Full details can be provided upon request.

## 7. ACCOUNT AUTHORISATION

Will any other person apart from yourself be trading on your behalf by you issuing a Limited Power of Attorney?  Yes  No

We will require you to sign a Limited Power of Attorney (LPOA) to authorise a third party. We will supply the wording of this LPOA.

## 8. DECLARATION

**I/we declare that:**

- I/we have carefully read, understood and honestly responded to all the questions in this application, consisting of 8 pages in total;
- I/we have read and understood the nature and risk of the product(s) that I intend to trade in this account;
- I/we have read and understand the Elective Professional Client Notification, as shown on pages 7 & 8 of this application form;
- I/we act in my name as specified on this application form and not on behalf of another party in respect of all matters related to this account. Accordingly, all funds to be deposited and transacted on the account are my own funds;
- I/we am not aware that I have any health or other conditions that may affect the proper operation of the account;
- I/we have provided true, accurate and complete information and undertake to update Citypoint of any changes to the information provided without delay;
- I/we accept that in certain circumstances Citypoint will be obliged to share information with UK tax authorities, who may pass it on to other tax authorities;
- I/we have obtained from the website ([www.citypointtrading.com](http://www.citypointtrading.com)) or received, read and understood the following:
  - a. The General Business Terms, (including the risk warning)
  - b. Order Execution Policy
  - c. Trading Policy and Commercial Agreement
- I/we accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these to be provided to me by way of posting on the website indicated above.
- I/we have authorisation from the Board of Directors to act on behalf of the Board in relation to all matters regarding opening and operating the trading account with Citypoint Trading Limited, including but not limited to deposits, withdrawals, trading and investment instruments.

**Director 1** Full Name:

Signature:

Date  
(DD/MM/YYYY):

**Director 2** Full Name:

Signature:

Date  
(DD/MM/YYYY):

**Director 3** Full Name:

Signature:

Date  
(DD/MM/YYYY):

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## DATA PROTECTION

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To comply with The Money Laundering Regulations, Citypoint is required to collect information about you and to undertake checks on the identity and residential address of the directors, shareholders and other authorised persons, including accessing and using information held in databases or other electronic, or non-electronic, formats through other agencies.

In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. Citypoint will also request further supporting documentation from you to verify these details. The information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. Citypoint may exchange or share information with the firm or person who introduced your company to us for proper performance of the service.

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## CORPORATE DOCUMENTS

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Initial list of documents to be provided with this application form:

- a. Memorandum & Articles of Association;
- b. Certificate of Incorporation;
- c. Group Structure Diagram (for entity within a complex group structure only);
- d. Proof of address and identity of shareholders who own more than 10% share of company and all Directors

List A – Proof of Residential Address:

1. An original or certified<sup>†</sup> copy of a recent (no more than 3 months old) utility bill stating your name and residential address;
2. An original or certified<sup>†</sup> copy of a recent (no more than 3 months old) bank statement stating your name and residential address;
3. A letter (no more than 3 months old) from a Lawyer, Accountant or Bank Manager confirming your name and residential address. The letter must be on headed note paper containing the certifier's name, address, occupation, email and telephone number.

List B – Proof of Identity:

1. A certified<sup>†</sup> copy of your valid passport;
2. A certified<sup>†</sup> copy of your valid National ID card; or
3. A certified<sup>†</sup> copy of your valid photo-card driver's licence

<sup>†</sup> Certification may be carried out by Lawyers, Accountants, Bank Managers or Citypoint employees. All copies must be clear and certification must be legible with the certifier's name, address, occupation, email address and telephone number. On each document the following sentence needs to be written ***'I certify this to be a true copy of the original as seen by me'***

IF FOR ANY REASON, YOU ARE UNABLE TO PROVIDE US WITH THE STANDARD CUSTOMER DUE DILIGENCE DOCUMENTATION REQUIRED (PROOF OF ADDRESS ETC) OR IF YOU ARE UNCLEAR AS TO HOW TO CERTIFY ANY OF YOUR SUPPORTING DOCUMENTS PLEASE SPEAK TO US TO SEE IF WE CAN ACCEPT AN ALTERNATIVE FORM OF IDENTIFICATION.