

# INDIVIDUAL CLIENT APPLICATION FORM

Before completing this application form, please ensure that you have obtained and read the information regarding the products and services provided by Citypoint Trading Limited ("Citypoint") and all relevant terms and policies made available, and updated from time to time, on our website [www.citypointtrading.com](http://www.citypointtrading.com). Once completed this form should be sent to Citypoint at the business address below or alternatively please send a scanned copy of the completed application to [newaccounts@citypointtrading.com](mailto:newaccounts@citypointtrading.com).

I AM APPLYING TO OPEN AN ACCOUNT AS A:  PROFESSIONAL CLIENT  RETAIL CLIENT

ALL FIELDS MUST BE COMPLETED.

BOTH  X  OR  v  ARE ACCEPTABLE AS AN INDICATION CHECK.

## 1. PERSONAL DETAILS

Forename(s):	Town/City of Birth:
Surname:	Country of Birth:
Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female	Nationality:
Date of Birth (dd/mm/yyyy):	Do you hold multiple nationalities? <input type="checkbox"/> Yes <input type="checkbox"/> No
Primary Telephone No.:	If so, please state here:
Secondary Telephone No.:	Which country are you resident for tax purposes?
Passport Number or Local ID No.:	Please List All:
Email Address:	Occupation:

CURRENT RESIDENTIAL ADDRESS - Please ensure that you include all parts of your address in full in this section

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

PREVIOUS RESIDENTIAL ADDRESS – Please provide this only if you have been at your current residential address for less than 3 years

Address Line 1:	Region:
Address Line 2:	Postcode / Zipcode:
Town/City:	Country:

## 2. PERSONAL BANK DETAILS

- if the bank account is held jointly with another person (wife etc) please supply full details of that other individual on additional pages

Beneficiary Name:	Sort Code:
Bank Name:	IBAN:
Bank Address:	SWIFT Code:
Account Number:	BIC Code:
The currency of the main account? (default currency will be USD if left blank)	<input type="checkbox"/> USD, <input type="checkbox"/> EUR, <input type="checkbox"/> GBP

### 3. TRADING EXPERIENCE

- 3.1) Have you traded, in significant size, in the Forex/CFD markets (eg. Spot FX, forwards, futures, swaps, options etc.) and Precious Metals (ie. Gold and Silver) at an average frequency of 10 transactions per quarter over the previous 4 quarters?  Yes  No
- 3.2) If the answer to 3.1 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Rolling spot FX  Futures  Options  Commodities  Swaps  Shares  Indices  
 Other; please give details
- 3.3) Is the size of your financial instrument portfolio, defined as including cash deposits and financial instruments, in excess of EUR500,000 (or equivalent in your local currency)?  Yes  No
- 3.4) Do you work or have you worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions and services provided by Citypoint?  Yes  No
- 3.5) If the answer to 3.4 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Trading & dealing desk  Sales & marketing  Director & strategic planning  
 Risk management & hedging  Compliance & regulations  
 Other; please give details
- 3.6) How many years trading experience of any financial products do you have?  <1 year  1 year to <3 years  >3 years
- 3.7) How many years previous trading experience do you have in relation to leveraged products?  <1 year  1 year to <3 years  >3 years
- 3.8) What, if any, products have you traded in a live environment (where you made the final trade decision) in the last 12 months?  
**PLEASE TICK ALL RELEVANT:**  

<u>Forex:</u>	<u>CFD:</u>	<u>Shares:</u>
<input type="checkbox"/> Often (at least 10 times a month)	<input type="checkbox"/> Often (at least 10 times a month)	<input type="checkbox"/> Often (at least 10 times a month)
<input type="checkbox"/> Sometimes (at least 3 times a month)	<input type="checkbox"/> Sometimes (at least 3 times a month)	<input type="checkbox"/> Sometimes (at least 3 times a month)
<input type="checkbox"/> Rarely (less than once a month)	<input type="checkbox"/> Rarely (less than once a month)	<input type="checkbox"/> Rarely (less than once a month)

### 4. KNOWLEDGE OF TRADING RISKS

- 4.1) Are you aware of the factors influencing Forex and CFD movements, such as economic figure releases, political situations around the world, supply and demand etc?  Yes  No
- 4.2) Are you aware of the impact of the availability of liquidity in Forex and CFD markets?  Yes  No
- 4.3) Are you aware of the role of volatility and how it can affect Forex and CFD markets?  Yes  No
- 4.4) Do you understand the impact of leverage and risk involved in trading margined products such as CFDs?  Yes  No
- 4.5) Do you understand what a "margin call" is and that Citypoint may liquidate any or all of your open positions if you fail to meet a "margin call"?  Yes  No
- 4.6) Do you understand the technical and systematic risks and weaknesses of an electronic platform such as communications interruptions and systems downtime?  Yes  No
- 4.7) Have you undertaken any professional courses relevant to trading CFDs?  Yes  No
- 4.8) If the answer to 4.7 is Yes, **PLEASE TICK ALL RELEVANT:**  
 Online trading course(s) within the past 24 months  In-class training courses within the past 24 months  
 Seminar(s) within the past 24 months  Relevant academic degree  
 Other self-study materials within the past 24 months, **PLEASE GIVE DETAILS:**

## 5. FINANCIAL INFORMATION

The questions about your financial status will help us to assess your qualifications for a professional account. All figures are given in Euros.

- 5.1) What is your annual income?       < €50,000       €50,000-€100,000       €100,000-€200,000       > €200,000
- 5.2) What is your net worth (assets minus liabilities)?       < €100,000       €100,000-€500,000       > €500,000  
 This is the total of your assets shares, bonds, bank accounts, property minus your total liabilities (outstanding loans etc)
- 5.3) What is your estimated liquid net worth (cash & liquid assets)?       < €100,000       €100,000-€500,000       > €500,000  
 Your liquid net worth includes net assets that can be readily turned into cash without major loss in value (shares, bonds, etc)
- 5.4) What is your primary source of wealth?       Business Revenue       Employment       Investments       Inheritance       Trust Fund  
 Other, please specify:
- 5.5) Have you ever been declared bankrupt?       Yes       No
- 5.6) How much do you intend to fund your account (Initial Margin)?  
 Specify the currency (Euro, GBP or USD).

## 6. INTRODUCING BROKER

- If you were introduced by an introducing broker, please provide name, address and contact details of the introducing broker:

Name: \_\_\_\_\_

Address & Contact  
 Details: \_\_\_\_\_

If you've been introduced to us by a third party, we may charge you commission and pay any percentage of this charge to the third party. Full details can be provided upon request.

## 7. ACCOUNT AUTHORISATION

Will any other person apart from yourself be trading on your behalf by you issuing a Limited Power of Attorney?       Yes       No

We will require you to sign a Limited Power of Attorney (LPOA) to authorise a third party. We will supply the wording of this LPOA.

## 8. DECLARATIONS

### I declare that:

- I have carefully read, understood and honestly responded to all the questions in this application, consisting of 6 pages in total;
- I have read and understood the nature and risk of the product(s) that I intend to trade in this account;
- I act in my name as specified on this application form and not on behalf of another party in respect of all matters related to this account. Accordingly, all funds to be deposited and transacted on the account are my own funds;
- I am not aware that I have any health or other conditions that may affect the proper operation of the account;
- I have provided true, accurate and complete information and undertake to update Citypoint of any changes to the information provided without delay;
- I accept that in certain circumstances Citypoint will be obliged to share information with UK tax authorities, who may pass it on to other tax authorities;
- I have obtained from the website ([www.citypointtrading.com](http://www.citypointtrading.com)) or received, read and understood the following:
  - a. The General Business Terms, (including the risk warning)
  - b. Order Execution Policy
  - c. Trading Policy and Commercial Agreement
- I accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these to be provided to me by way of posting on the website indicated above.

Signature: \_\_\_\_\_

Date (dd/mm/yyyy): \_\_\_\_\_

## DATA PROTECTION

To comply with The Money Laundering Regulations, Citypoint is required to collect information about you and to undertake checks on the identity and residential address of the directors, shareholders and other authorised persons, including accessing and using information held in databases or other electronic, or non-electronic, formats through other agencies.

In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. Citypoint will also request further supporting documentation from you to verify these details. The information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. Citypoint may exchange or share information with the firm or person who introduced your company to us for proper performance of the service.

## GUIDANCE TO COMPLETE THE ACCOUNT APPLICATION FORM

The account application form must be accompanied by one document from List A and one from List B.

### List A – Proof of Residential Address:

1. An original or certified† copy of a recent (no more than 3 months old) utility bill stating your name and residential address;
2. An original or certified† copy of a recent (no more than 3 months old) bank statement stating your name and residential address;
3. A letter (no more than 3 months old) from a Lawyer, Accountant or Bank Manager confirming your name and residential address. The letter must be on headed note paper containing the certifier's name, address, occupation, email and telephone number.

### List B – Proof of Identity

1. A certified† copy of your valid passport;
2. A certified† copy of your valid National ID card; or
3. A certified† copy of your valid photo-card driver's licence

† Certification may be carried out by Lawyers, Accountants, Bank Managers or Citypoint employees. All copies must be clear and certification must be legible with the Certifiers name, address, occupation, email address and telephone number. On each document the following sentence needs to be written *'I certify this to be a true copy of the original as seen by me'*

**IF FOR ANY REASON, YOU ARE UNABLE TO PROVIDE US WITH THE STANDARD CUSTOMER DUE DILIGENCE DOCUMENTATION REQUIRED (PROOF OF ADDRESS ETC) OR IF YOU ARE UNCLEAR AS TO HOW TO CERTIFY ANY OF YOUR SUPPORTING DOCUMENTS PLEASE SPEAK TO US TO SEE IF WE CAN ACCEPT AN ALTERNATIVE FORM OF IDENTIFICATION.**